10

15

25

30

## What is claimed is:

- 1. A method for tracking motion of a face comprising the steps of: selecting salient features of the face for motion tracking; and tracking motion of the salient features of the face.
- 2. The method of claim a further comprising:
  acquiring a plurality of initial 2-D images of the face;
  calculating 3-D locations of the salient features; and
  determining a surface normal for each salient features.
- 3. The method of claim 1 further comprising: receiving a chronologically ordered sequence of 2-D images of the face in action; and locking onto the salient features.
- 4. The method of claim 1 further combrising: tracking 3-D global motion of the face in each image; and tracking 3-D local motion of the face in each image.
- 5. The method of claim 1 wherein the step of selecting comprises fixing markers to the face and the step of tracking comprises tracking the motion of the markers.
  - 6. The method of claim 5 wherein a first set of markers identifies global motion and a second set of markers identifies local motion of the face.
  - 7. The method of claim 4 wherein the step of tracking the 3-D global motion comprises the steps of:

    predicting the location of global salient features in a 2-D image;

    detecting global salient features in the 2-D image; and
    estimating the 3-D global motion of the face in the 2-D image
  - 8. The method of claim 7 wherein the step of estimating comprises calculating the position and shape of the face to conform to the 3-D locations and the detected locations of the global markers under a perspective projection model.

10

15

20

25

- 9. The method of claim 4 wherein the step of tracking the 3-D local motion comprises the steps of: predicting the location of local salient features; detecting local salient features; and estimating the 3-D local motion of the face.
- 10. The method of claim 9 wherein the step of estimating comprises:
  finding 3-D locations of local markers to conform to the detected 2-D locations of the
  local markers; and
  calculating an action vector representing the weights of facial actions in the 2-D
  image conforming to the found 3-D locations of local markers and the 3-D locations
  of the local markers for the neutral and the action states under a perspective projection
  model.
- 11. A method for tracking motion of a face comprising the steps of:
  determining the calibration parameter of a camera;
  selecting salient features on the face for motion tracking;
  acquiring a plurality of initial 2-D images of the face;
  calculating 3-D locations of the salient features in accordance with the calibration
  parameter of the camera;
  determining a surface normal for each salient features;
  receiving a chronologically ordered sequence of 2-D images of the face in action;
  tracking motion of the face in each 2-D image; and
  storing or transmitting tracked motion of the face.
- 12. The method of claim 11 further comprising the steps of:
  locking onto the salient features; and
  detecting loss of lock and hence the need for re-locking onto the salient features.
- 13. The method of claim 11 wherein the step of tracking comprises the steps of: tracking the 3-D global motion of the face in each image; and tracking the 3-D local motion of the face in each image.



10

15

25

- 14. The method of claim 11 comprising the further step of repeating the locking and tracking steps after the detecting step.
- 15. The method of claim 11 wherein the step of selecting comprises recognizing salient facial features and the step of tracking comprises tracking the motion of the salient facial features.
- 16. The method of claim 11 wherein the step of selecting comprises fixing markers to the face and the step of tracking comprises tracking the motion of the markers.
- 17. The method of claim 16 wherein a first set of markers identifies global motion and a second set of markers identifies local motion of the face.
- 18. The method of claim 17 wherein the markers comprise at least two colors.
- 19. The method of claim 18 wherein the two colors are contrasting.
- 20. The method of claim 19 wherein the colors are black and white.
- 21. The method of claim 16 wherein the markers comprise two concentric circles of different colors.
  - 22. The method of claim 21 wherein the outer circle has a diameter at least twice the diameter of the inner circle.
  - 23. The method of claim 11 wherein the step of selecting comprises wearing a head-set with markers.
  - 24. The method of claim 23 wherein the head-set comprises a strap for a chin.
  - 25. The method of claim 23 wherein the head-set comprises a strap for eyebrows.
  - 26. The method of claim 23 wherein the head-set comprises at least one strap for a skull.

10

15

20

- 27. The method of claim 11 wherein the acquired 2-D images include at least two views of the face with markers in a neutral state at different orientations;
- 28. The method of claim 27 wherein the two views are orthogonal.
- 29. The method of claim 11 wherein the acquired 2-D images comprise front, forehead, chin, angled-right, angled-right-tilted-up, angled-right-tilted-down, angled-left, angled-left-tilted-up, angled-left-tilted-down, full-right-profile, full-right-profile-tilted-up, and full-left-profile-tilted-down views of the face with markers in the neutral state.
- 30. The method of claim 11 wherein the acquired 2-D images comprise front, forehead, chin, full-right-profile, and full-left-profile views of the face with markers in the neutral state.
- 31. The method of claim 1 wherein the acquired 2-D images include a plurality of views of the face with markers in at least one action state.
- 32. The method of claim 31 wherein the action states of the face comprise smiling lips, kissing lips, yawning lips, raised eyebrows, and squeezed eyebrows.
- 33. The method of claim 31 wherein the acquired 2-D images of the face in an action state include at least two views at different orientations.
- 25 34. The method of claim 33 wherein the two views are front and angled-right.
  - 35. The method of claim 11 wherein the step of selecting comprises fixing markers to the face and the step of calculating comprises calculating the 3-D locations of the markers placed on the face.
  - 36. The method of claim 35 wherein the step of calculating the 3-D locations of the markers comprises the steps of:

    calculating the 3-D locations of the global and local markers in the neutral state; and calculating the 3-D locations of the local markers in each action state;

10

15

20

25

30

face.

- 37. The method of claim 36 wherein the step of calculating the 3-D locations of the global and local markers in the neutral state comprises the steps of: calculating the 3-D locations of the markers to conform to their 2-D locations in the 2-D images of the face in the neutral state under an orthographic projection model; calculating relative distances of the face to the camera in the 2-D images to conform to the 2-D locations of the markers and their calculated 3-D locations under a perspective projection model; modifying the 2-D locations of the markers to conform to the calculated relative distances and the 3-D locations under a perspective projection model; recalculating the 3-D locations of the markers to conform to their modified 2-D locations under an orthographic projection model; repeating the steps of calculating the relative distances, modifying the 2-D locations, and recalculating the 3-D locations to satisfy a convergence requirement; and translating and rotating the 3-D locations so that they correspond to a frontal-looking
- 38. The method of claim 36 wherein the step of calculating the 3-D locations of the local markers in each action state comprises the steps of:
  estimating the orientation and position of the face in each 2-D image of the action state to conform to the 3-D and 2-D locations of the global markers under a perspective projection model; and calculating the 3-D locations of the local markers to conform to the estimated orientation and position of the face and the 2-D locations of the local markers under a perspective projection model;
- 39. The method of claim 13 wherein the step of tracking the 3-D global motion comprises the steps of:

  predicting the location of global salient features in a 2-D image;
  detecting global salient features in the 2-D image; and
  estimating the 3-D global motion of the face in the 2-D image.
- 40. The method of claim 39 wherein the step of predicting comprises calculating 2-D locations of the global salient features under a perspective projection model using the

position and orientation of the face in a previous 2-D image, and the step of detecting comprise detecting the global markers.

- 41. The method of claim 40 wherein detecting the global markers comprises:
- determining visibility indices of global markers;
  - designing correlation filters for the global markers;
  - detecting the global markers by applying elliptical correlation filters in a neighborhood of the global markers; and
  - eliminating superfluous and multiple detected locations.
- 42. The method of claim 39 wherein the step of estimating comprises calculating the position and shape of the face to conform to the 3-D locations and the detected locations of the global markers under a perspective projection model.
- 43. The method of claim 13 wherein the step of tracking the 3-D local motion comprises the steps of: predicting the location of local salient features; detecting local salient features; and estimating the 3-D local motion of the face.
- 44. The method of claim 43 wherein the local markers are placed on eyebrows and lips.
- 45. The method of claim 44 wherein the locations of the local markers comprise proximate ends of the eyebrows, corners of the lips, and the upper and lower centers of each lip.
- 46. The method of claim 43 wherein the step of predicting the locations of local markers comprises calculating the locations of the local markers using the position, orientation, and action values of the face in a previous 2-D image and the step of detecting comprise detecting the global markers.
- 47. The method of claim 44 wherein detecting the local markers comprise: determining visibility indices of local markers; designing correlation filters for the local markers;

5

20

Ale Con'x 5

10

15

20

25

30

detecting the local markers by applying elliptical correlation filters in a neighborhood of the local markers; and

eliminating superfluous and multiple detected locations.

48. The method of claim 43 wherein the step of estimating comprises:
finding 3-D locations of local markers to conform to the detected 2-D locations of the local markers;
calculating an action vector representing the weights of facial actions in the 2-D image conforming to the found 3-D locations of local markers and the 3-D locations

of the local markers for the neutral and the action states under a perspective projection

model.

49. The method of claim 48 wherein the step of calculating an action vector comprises the steps of:

calculating the difference between the 2-D locations of the local markers detected in an image and the 2-D locations of the same markers corresponding to the neutral face; modifying the difference to conform to the orthographic projection;

calculating the 3-D displacements of the local markers with respect to their location in the neutral face; and

calculating the amount of facial actions conforming to the 3-D displacements of the local markers.

50. The method of claim 48 wherein the step of calculating an action vector comprises the steps of:

calculating the 2-D locations of the local markers corresponding to the neutral face using the global motion found for the current image;

calculating the 2-D locations of the local markers corresponding to the action faces using the global motion found for the current image;

calculating the distance between the detected locations, the distance between the neutral locations, and the distance between the action locations of the markers at the right and left corners of the lips;

calculating the distance between the detected locations, the distance between the neutral locations, and the distance between the action locations of the markers at the upper and lower center of lips;

calculating the distance between the detected locations, the distance between the neutral locations, and the distance between the action locations of the markers at the proximate ends of the eyebrows;

determining the fractional displacements of the local markers for the lips area and for the eyebrows area; and

determining action mode and amount for the lips area and for the eyebrows area based on the fractional displacements of the local markers.